

Rational homotopy of non-connected spaces

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(Joint work with Aniceto Murillo)

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1. A brief introduction

Problem: How to realize algebraic structures?

$$\text{CDGA} \xrightarrow{\langle \cdot \rangle} \text{SSet}$$

Category of simplicial sets

Category of commutative differential graded (over \mathbb{Z}) algebras over a field of characteristic zero

$$\langle A \rangle_n = \text{Hom}(A, (A_{PL})_n) \quad (A_{PL})_n = \Lambda(t_1, \dots, t_n, dt_1, \dots, dt_n)$$

Sullivan, Publications Mathématiques de l'IHÉS 1977
 Bousfield, Gugenheim, Mem. Amer. Math. Soc. 1976

Theorem

With the appropriate restrictions, the induced functor in the homotopy categories is an equivalence.

$$Ho \text{ CDGA}_{\mathcal{N},f}^{\mathbb{Q}} \xrightarrow{\cong} Ho \text{ SSet}_{\mathcal{N},f}^{\mathbb{Q}}$$

1. A brief introduction

$$\text{DGL} \xrightarrow[\lambda]{\langle \cdot \rangle} \text{SSet}$$

Category of differential graded (over \mathbb{Z}) Lie algebras over a field of characteristic zero

Quillen, *Annals of Mathematics*, 1969

Theorem

Neisendorfer, *Pacific J. of Math.* 1978

With the appropriate restrictions, the induced functors in the homotopy categories are equivalences.

$$H_0 \text{DGL}_1^{\mathbb{Q}} \xrightarrow[\cong]{\sim} H_0 \text{SSet}_1^{\mathbb{Q}}$$

$$H_0 \text{DGL}_{\mathcal{N},f}^{\mathbb{Q}} \xrightarrow[\cong]{\sim} H_0 \text{SSet}_{\mathcal{N},f}^{\mathbb{Q}}$$

The “unrestricted” situation is also useful...

1. A brief introduction

Let X be a finite nilpotent CW-complex.

Let Y be a finite type nilpotent CW-complex.

Let C be a finite dimensional coalgebra model of X .

Let L be a DGL model of Y . el of Y .

Haefliger, Trans. of the Amer. Math. Soc. 1982
Brown, Szczarba, Trans. of the Amer. Math. Soc. 1997

Theorem $(\Lambda(V \otimes C), D)$ is a *model* of $\text{map}(X, Y)$.

Theorem $\text{Hom}(C, L)$ is a DGL *model* of $\text{map}(X, Y)$.

Scherer, Tanré, Arch. Math. 1992
B. , Félix, Murillo, Trans. of the Amer. Math. Soc. 2009

$$C \xrightarrow{\Delta} C \otimes C \xrightarrow{g \otimes h} L \otimes L \xrightarrow{[\cdot, \cdot]} L$$

$[g, h] : C \longrightarrow L,$

1. A brief introduction

Goal

Develop a consistent *homotopy theory* in (unbounded!) **DGL**, or more generally in \mathbf{L}_∞ , to be able to algebraically model the homotopy type of non-connected spaces.

2. Cochain functor

Definition

An L_∞ algebra structure on a graded vector space L is a family of linear maps of degree $k - 2$

$$\ell_k: \otimes^k L \rightarrow L$$

satisfying:

- (1) Graded skew-symmetry.
- (2) Higher Jacobi identities.

Equivalently $(\Lambda sL, \delta)$

$\mathcal{C}_*(L) = (\Lambda sL, \delta)$ is the *Cartan-Eilenberg-Chevalley* CDGC.

A morphism of L_∞ algebras $\phi: L \rightarrow L'$ is morphism of CDGC

$$(\Lambda sL, \delta) \rightarrow (\Lambda sL', \delta')$$

Equivalently, it is a family of linear maps $\phi^{(k)}: L^{\otimes k} \rightarrow L'$ of degree $k - 1$ satisfying some relations involving $\{\ell_k\}$ and $\{\ell'_k\}$.

2. Cochain functor

Definition

An L_∞ algebra L is *mild* if every bracket is locally finite, i.e., for any $a \in L$ there are finite dimensional subspaces $S_k \subset \otimes^k L$, $k \geq 1$ which vanish for $k \gg 0$ and such that

$$\ell_k^{-1}\langle a \rangle \subset \text{Ker} \ell_k \oplus S_k.$$

Definition

Given a mild L_∞ algebra L , choose a homogeneous basis $\{z_i\}$ of L and denote by $V \subset (sL)^\#$, $V = \langle \{v_i\} \rangle$ where $v_i(sz_r) = \delta_i^r$.

$$\mathcal{C}^*(L) = (\Lambda V, d), \quad d = \sum_{k \geq 1} d_k, \quad d_k V \subset \Lambda^k V$$

$$\langle d_k v; sx_1 \wedge \cdots \wedge sx_k \rangle = \pm \langle v; s\ell_k(x_1, \dots, x_k) \rangle.$$

2. Cochain functor

$$\langle d_k v; s x_1 \wedge \cdots \wedge s x_k \rangle = \pm \langle v; s \ell_k(x_1, \dots, x_k) \rangle.$$

L is mild if for each v_i

$$\langle v; s \ell_k(z_{j_1}, \dots, z_{j_k}) \rangle = 0$$

for almost all $z_{j_1} \otimes \cdots \otimes z_{j_k} \in L^{\otimes k}$

$(\Lambda V, d)$ is mild if for each $z_{j_1} \otimes \cdots \otimes z_{j_k} \in L^{\otimes k}$

$$\langle d_k v_i; s z_{j_1} \wedge \cdots \wedge s z_{j_k} \rangle = 0$$

for almost all $v_i \in V$.

2. Cochain functor

$\mathcal{C}^*(-)$ does not define a functor unless we also restrict the class of L_∞ morphisms.

$\phi: (\Lambda sL, \delta) \rightarrow (\Lambda sM, \delta)$ is *mild* if every $\phi^{(k)}: \Lambda^k sL \rightarrow sM$ is locally finite, i.e.

for any $a \in M$ there is a finite dimensional subspace $S_k \subset \otimes^k L$, $k \geq 1$, with $S_k = 0$ $k \gg 0$, such that

$$(\phi^{(k)})^{-1}\langle a \rangle = \text{Ker}\phi^{(k)} \oplus S_k.$$

If $\phi: (\Lambda sL, \delta) \rightarrow (\Lambda sM, \delta)$ is mild, define

$$\mathcal{C}^*(\phi): \mathcal{C}^*(M) = (\Lambda W, d) \rightarrow (\Lambda V, d) = \mathcal{C}^*(L),$$

with $\mathcal{C}^*(\phi) = \sum_{k \geq 1} \mathcal{C}^*(\phi)_k$ where $\mathcal{C}^*(\phi)_k: W \rightarrow \Lambda^k V$ is given by

$$\langle \mathcal{C}^*(\phi)_k w; sx_1 \wedge \cdots \wedge sx_k \rangle = \pm \langle w; s\phi^{(k)}(x_1 \otimes \cdots \otimes x_k) \rangle.$$

We will denote this category by $\mathbb{L}_\infty^{\text{mild}}$.

2. Cochain functor

$$\langle \mathcal{C}^*(\phi)_k w; sx_1 \wedge \cdots \wedge sx_k \rangle = \pm \langle w; s\phi^{(k)}(x_1 \otimes \cdots \otimes x_k) \rangle.$$

Let L and M be mild L_∞ algebras

$\phi: L \rightarrow M$ is mild if for each w_i

$$\langle w_i; s\phi^{(k)}(z_{j_1} \otimes \cdots \otimes z_{j_k}) \rangle = 0$$

for almost all $z_{j_1} \otimes \cdots \otimes z_{j_k} \in \otimes^k L$.

Let $(\Lambda V, d)$ and $(\Lambda W, d)$ be mild CDGA's.

$\psi: (\Lambda W, d) \rightarrow (\Lambda V, d)$ is mild

if for each $z_{j_1} \otimes \cdots \otimes z_{j_k} \in \otimes^k L$

$$\langle \psi_k w_i; sz_{j_1} \wedge \cdots \wedge sz_{j_k} \rangle = 0$$

for almost all w_i .

2. Cochain functor

Remark 1

FINITE TYPE + BOUNDED \neq MILD

Example 1

Consider the L_∞ algebra

$$L = L_{-1} \oplus L_{-2}, \quad \text{where } L_{-1} = \langle a \rangle ; L_{-2} = \langle b \rangle.$$

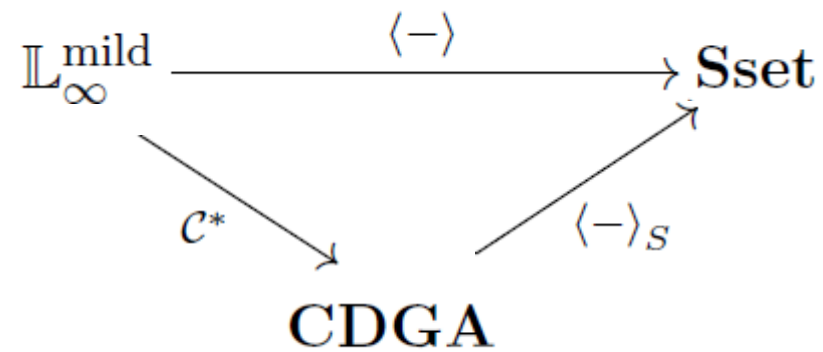
Brackets given by $\ell_k(a, \dots, a) = b, k \geq 1$

L is NOT mild but is of finite type and bounded.

Example 2

Any non-finite type, non-bounded abelian L_∞ algebra ($\ell_k = 0, k \geq 1$) is trivially mild.

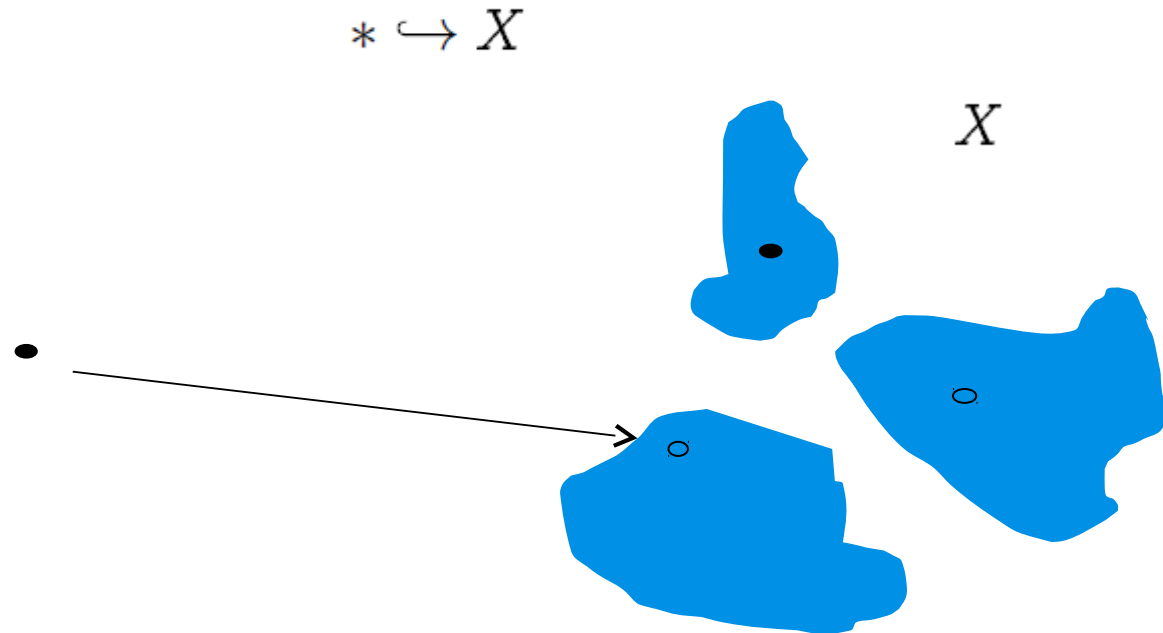
2. Cochain functor



3. Points, augmentations and Maurer-Cartan elements.

$(\Lambda V, d) \in \mathbf{CDGA}$ $\langle \Lambda V, d \rangle_S \simeq X$, non-connected space.

$\varphi \in \langle (\Lambda V, d) \rangle_0 = \mathit{Hom}((\Lambda V, d), (A_{PL})_0) = \mathit{Hom}((\Lambda V, d), \mathbb{Q})$

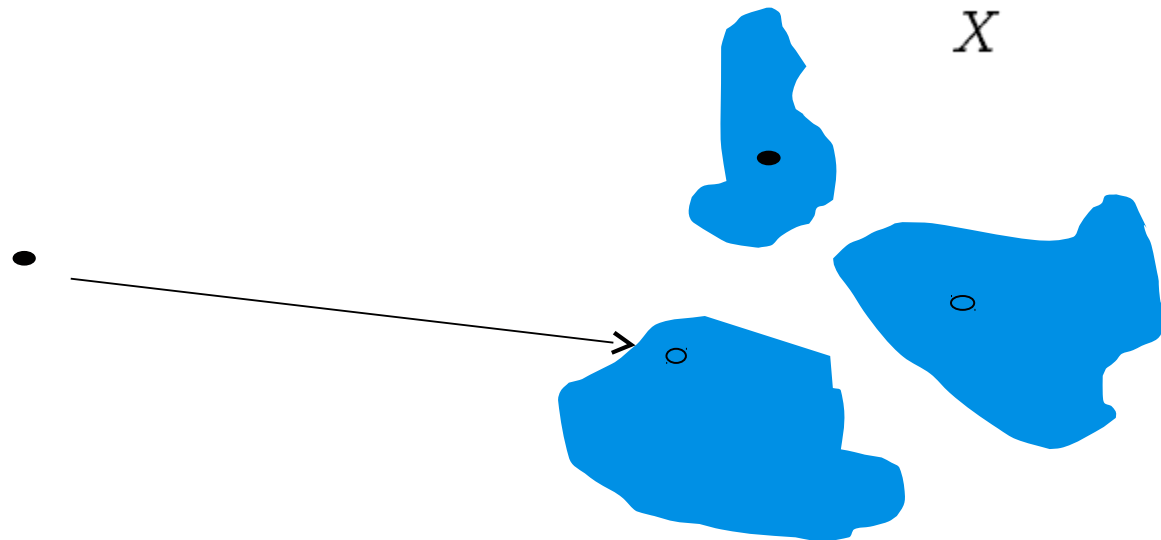


3. Points, augmentations and Maurer-Cartan elements.

$$K_\varphi = \{v \in V^{<0}\} \cup \{dv : v \in V^0\} \cup \{v - \varphi(v) : v \in V^0\}$$

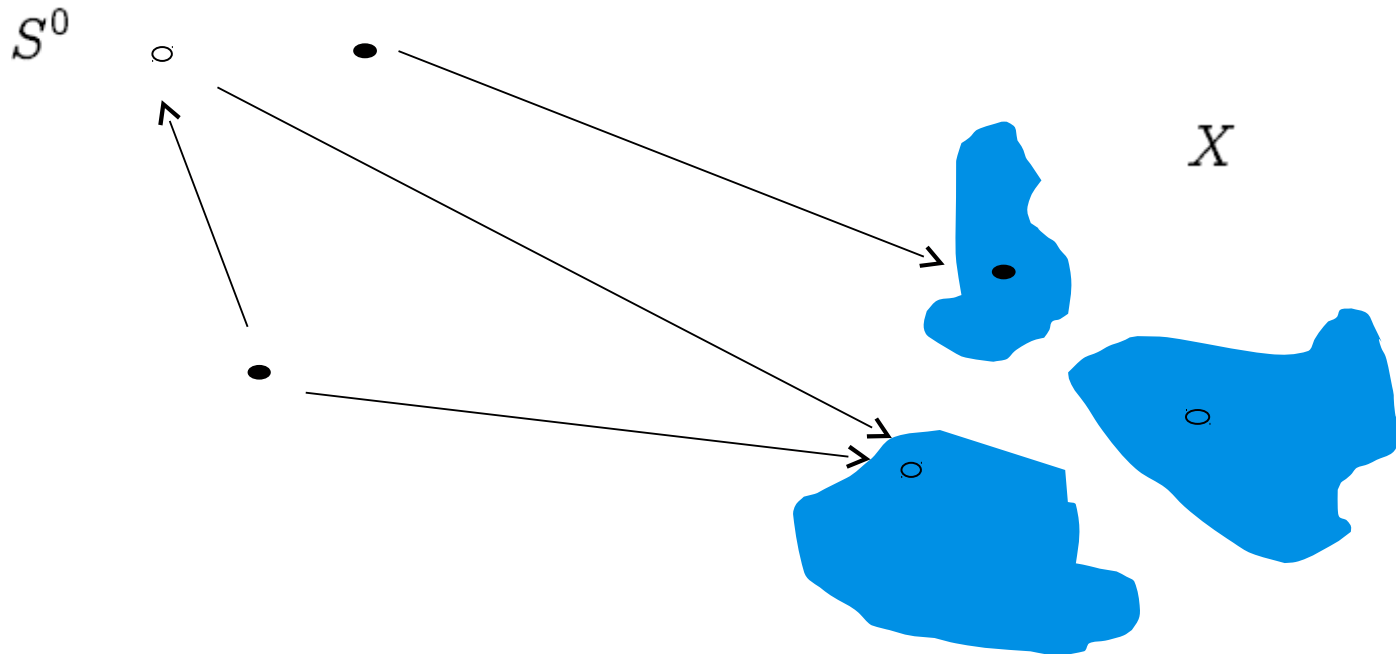
$$\langle (\Lambda V, d) / K_\varphi \rangle \simeq \langle (\Lambda V, d) \rangle_\varphi \simeq \text{Comp}(X, x_0).$$

$$(\Lambda V, d) / K_\varphi \cong (\Lambda \bar{V}^1 \oplus V^{\geq 2}, d_\varphi).$$



3. Points, augmentations and Maurer-Cartan elements.

What about the DGL/L_∞ setting?



3. Points, augmentations and Maurer-Cartan elements.

What is a good model for S^0 ?

$$(\mathbb{L}(u), \partial), \quad |u| = -1 \quad \partial(u) = -\frac{1}{2}[u, u] \quad \mathbb{L}(u) = u \oplus [u, u].$$

$$\mathcal{C}^*(\mathbb{L}(u)) = (\Lambda(x, y), d), \quad |x| = 0, |y| = -1, dx = 0, dy = \frac{1}{2}(x^2 - x)$$

$$\langle \mathcal{C}^*(\mathbb{L}(u)) \rangle \simeq S^0.$$

Definition

Let L be an L_∞ algebra. $z \in L_{-1}$ is a *Maurer-Cartan* element if $\ell_k(z, \dots, z) = 0$ for $k \gg 0$ and

$$\sum_{k \geq 1} \frac{1}{k!} \ell_k(z, \dots, z) = 0.$$

3. Points, augmentations and Maurer-Cartan elements.

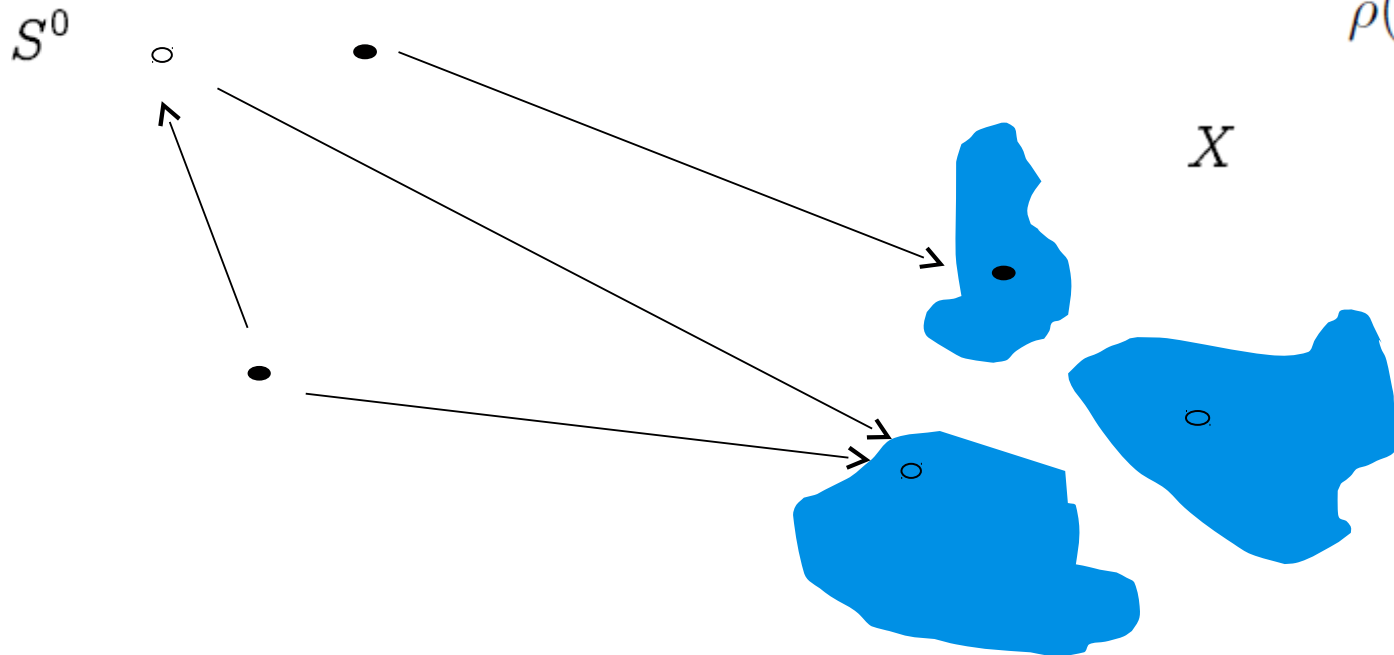
Lemma

Let $f: \Lambda V \rightarrow \mathbb{Q}$ be an augmentation.

There is a unique morphism of CGDA's $\tilde{f}: \Lambda V \rightarrow \Lambda(x, y)$, linear in x , making the following diagram commutative.

$$\begin{array}{ccc} & & \Lambda(x, y) \\ & \nearrow \tilde{f} & \downarrow \rho \\ (\Lambda V, d) & \xrightarrow{f} & \mathbb{Q} \end{array}$$

$\rho(x) = 1$



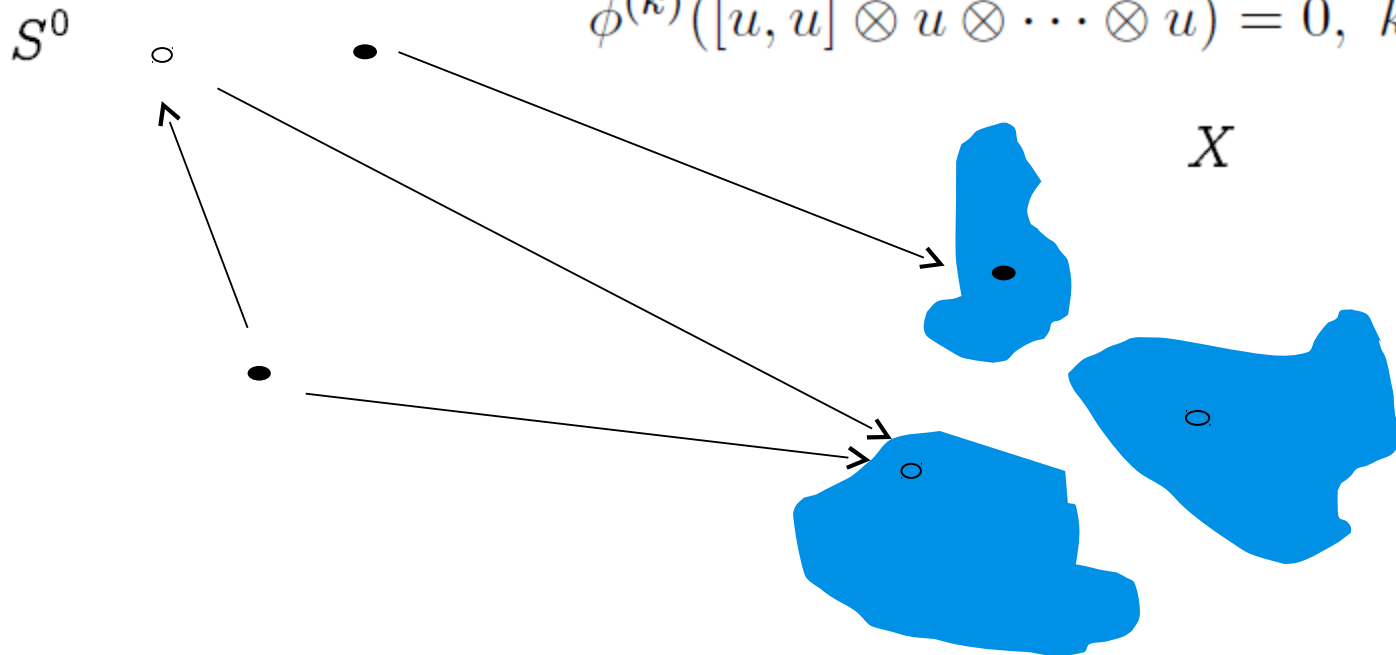
3. Points, augmentations and Maurer-Cartan elements.

Lemma

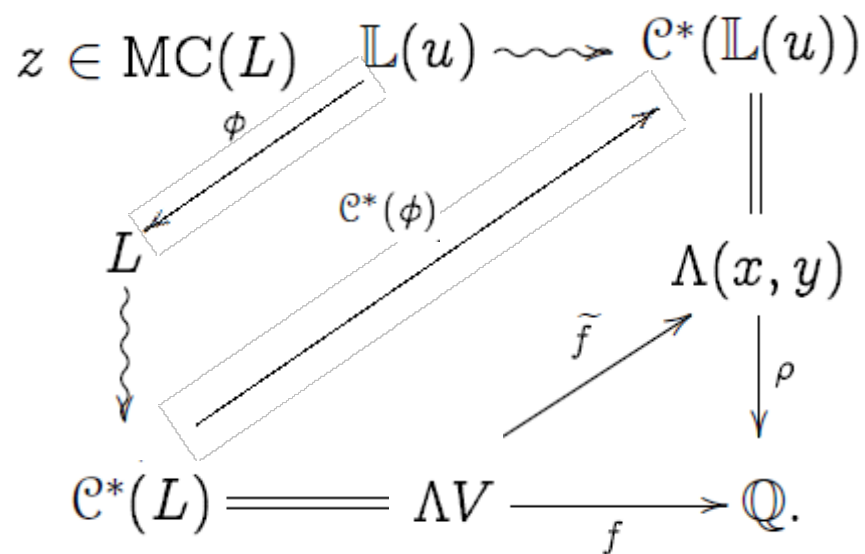
Let L be an L_∞ algebra. For any $z \in L_{-1}$ there exist a unique L_∞ morphism $\phi: \mathbb{L}(u) \rightarrow L$ such that

$$(1) \phi^{(1)}(u) = z, \quad (2) \phi^{(k)}(u \otimes \cdots \otimes u) = 0, k \geq 2.$$

Moreover, $z \in \text{MC}(L)$ if and only if $\phi^{(k)}([u, u] \otimes u \otimes \cdots \otimes u) = 0, k \gg 0.$



3. Points, augmentations and Maurer-Cartan elements.



3. Points, augmentations and Maurer-Cartan elements.

Lemma

If L is a mild L_∞ algebra of finite type, then:
 $z \in L_{-1}$ is a Maurer-Cartan element if and only if there exists a mild L_∞ morphism $\phi: \mathbb{L}(u) \rightarrow L$ such that $\phi^{(1)}(u) = z$ and $\phi^{(k)}(u \otimes \cdots \otimes u) = 0$ for $k \geq 2$.

From now on we will consider the category of finite type mild L_∞ algebras, denoted by $\mathbb{L}_\infty^{\text{mild, f.t.}}$.

3. Points, augmentations and Maurer-Cartan elements.

Theorem

(1) If $L \in \mathbb{L}_\infty^{\text{mild, f.t.}}$ then $\text{MC}(L) \cong \text{Aug}(\mathcal{C}^*(L))$.

(2) If $g: M \rightarrow L$ is a morphism in $\mathbb{L}_\infty^{\text{mild, f.t.}}$ then the map

$$\text{MC}(g): \text{MC}(M) \rightarrow \text{MC}(L)$$

$$z \mapsto \text{MC}(g)(z) = \sum_{k \geq 1} \frac{1}{k!} g^{(k)}(z \otimes \cdots \otimes z)$$

is well defined.

(3) $\text{MC}: \mathbb{L}_\infty^{\text{mild, f.t.}} \rightarrow \mathbf{Set}$ is naturally equivalent to $\text{Aug}\mathcal{C}^*: \mathbb{L}_\infty^{\text{mild, f.t.}} \rightarrow \mathbf{Set}$.

3. Points, augmentations and Maurer-Cartan elements.

$$L \mapsto \text{MC}(L)$$

$$\phi: M \rightarrow L$$



$$\text{MC}(\phi): \text{MC}(M) \rightarrow \text{MC}(L)$$

$$\text{MC}(\phi)(u) = \sum_{k \geq 0} \frac{1}{k!} \phi^{(k)}(u \otimes \dots \otimes u)$$

$$L$$



$$\text{AugC}^*(L) = \{f: \mathcal{C}^*(L) = (\Lambda V, d) \rightarrow \mathbb{Q}\}$$

$$\phi: M \rightarrow L$$



$$\text{AugC}^*(\phi): \text{AugC}^*(M) \rightarrow \text{AugC}^*(L)$$

$$\begin{array}{ccc} \mathcal{C}^*(M) & \xleftarrow{\mathcal{C}^*(\phi)} & \mathcal{C}^*(L) \\ & \searrow f & \swarrow f \circ \mathcal{C}^*(\phi) \\ & \mathbb{Q} & \end{array}$$

(3) $\text{MC}: \mathbb{L}_{\infty}^{\text{mild, f.t.}} \rightarrow \mathbf{Set}$ is naturally equivalent to $\text{AugC}^*: \mathbb{L}_{\infty}^{\text{mild, f.t.}} \rightarrow \mathbf{Set}$.

3. Points, augmentations and Maurer-Cartan elements.

Definition

Given an L_∞ algebra L and $z \in \text{MC}(L)$, the *perturbation* of ℓ_k by z is defined by

$$\ell_k^z(x_1, \dots, x_k) = \sum_{i \geq 0} \frac{1}{i!} \ell_{i+k}(z, \dots, z, x_1, \dots, x_k).$$

$(L, \{\ell_i^z\})$ is again an L_∞ algebra denoted by L^z .

If L is mild then L^z is also mild.

$$(L^{(z)})_i = \begin{cases} L_i & \text{if } i > 0, \\ \text{Ker } \ell_1^z & \text{if } i = 0 \\ 0 & \text{if } i < 0 \end{cases}$$

3. Points, augmentations and Maurer-Cartan elements.

Theorem

Let $L \in \mathbb{L}_\infty^{\text{mild, f.t.}}$ and let $f: \mathcal{C}^*(L) \rightarrow \mathbb{Q}$ be an augmentation corresponding to a Maurer-Cartan element $z \in \text{MC}(L)$. Then

$$\langle \mathcal{C}^*(L) \rangle_f \simeq \langle \mathcal{C}^*(L^{(z)}) \rangle.$$

Proof: $\mathcal{C}^*(L^{(z)}) \cong (\Lambda V, d) / K_f$.

4. Lawrence-Sullivan interval and homotopy

What can we say if two augmentations are homotopic $f \simeq g$?

How can we translate this homotopy in terms of L_∞ algebras and Maurer-Cartan elements z_f and z_g ?

In that case what is the relation between $L^{(z_f)}$ and $L^{(z_g)}$?

$$\begin{array}{ccc} \Lambda V & \xrightarrow{H} & \Lambda(t, dt) \\ & \searrow f & \downarrow \varepsilon_1 \\ & \searrow g & \mathbb{Q} \\ & & \downarrow \varepsilon_0 \end{array}$$

4. Lawrence-Sullivan interval and homotopy

To do that we will use a particular object called the Lawrence-Sullivan interval

$$\widehat{\mathbb{L}}(a, b, x), \quad |a| = |b| = -1, \quad |x| = 0$$

$$\partial(a) = -\frac{1}{2}[a, a], \quad \partial(b) = -\frac{1}{2}[b, b]$$

$$\partial(x) = \text{ad}_x(b) + \sum_{i \geq 0} \frac{B_i}{i!} \text{ad}_x^i(b - a),$$

$$B_0 = 1, \quad \frac{B_n}{n!} = - \sum_{k=0}^{n-1} \frac{B_k}{k!} \frac{1}{(n+1-k)!} \quad \text{para } n \geq 1.$$

Theorem

R. Lawrence, D. Sullivan

$$\partial^2 = 0.$$

4. Lawrence-Sullivan interval and homotopy

Definition

Let $L \in \mathbb{L}_\infty^{\text{mild, f.t.}}$. Let $z, z' \in \text{MC}(L)$.

We say that $z \simeq z'$ if there is an L_∞ morphism

$$\phi: \widehat{\mathbb{L}}(a, b, x) \rightarrow L$$

such that $\text{MC}(\phi)(a) = z$ and $\text{MC}(\phi)(b) = z'$.

Theorem

Let $L \in \mathbb{L}_\infty^{\text{mild, f.t.}}$ and $z_f, z_g \in \text{MC}(L)$ be the corresponding Maurer-Cartan elements associated to the augmentations

$f, g: \mathcal{C}^*(L) \rightarrow \mathbb{Q}$. Then

$$f \simeq_H g \text{ if and only if } z_f \simeq z_g.$$

Proof:

$$\circlearrowleft \Lambda(t, dt) \Leftrightarrow \langle \alpha, \beta, \gamma \rangle \xrightarrow{\mathcal{L}_\infty} \widehat{\mathbb{L}}(s^{-1}\alpha^*, s^{-1}\beta^*, s^{-1}\gamma^*) = \widehat{\mathbb{L}}(a, b, x).$$

A_∞ algebra

4. Lawrence-Sullivan interval and homotopy

Theorem

Let $L \in \mathbb{L}_\infty^{\text{mild, f.t.}}$. Let $z, z' \in \text{MC}(L)$ be two homotopic Maurer-Cartan elements $z \simeq z'$. Then

$$\langle L^{(z)} \rangle \simeq \langle L^{(z')} \rangle.$$

Proof:

$$(X, x_0) \xleftarrow{\simeq} X^I \xrightarrow{\simeq} (X, x_1)$$

$$(\Lambda V, d)/K_f \xrightarrow{\simeq} (\Lambda(V \otimes \langle \alpha^*, \beta^*, \gamma^* \rangle), \tilde{d})/K_H \xleftarrow{\simeq} (\Lambda V, d)/K_g.$$

Then

$$\langle (\Lambda V, d)/K_f \rangle \simeq \langle (\Lambda V, d)/K_g \rangle$$

$$\langle L^{(z)} \rangle = \langle (\Lambda V, d)/K_f \rangle \simeq \langle (\Lambda V, d)/K_g \rangle = \langle L^{(z')} \rangle.$$

4. Lawrence-Sullivan interval and homotopy

Definition $\widetilde{\text{MC}}(L) = \text{MC}(L) / \simeq .$

Then, given $g: L \rightarrow M$, a morphism in $\mathbb{L}_\infty^{\text{mild, f.t.}}$,

$\text{MC}(g)$ induce a map $\widetilde{\text{MC}}(g): \widetilde{\text{MC}}(L) \rightarrow \widetilde{\text{MC}}(M)$.

Theorem

Let $g: L \rightarrow M$ be a morphism in $\mathbb{L}_\infty^{\text{mild, f.t.}}$ such that $\mathcal{C}^*(g)$ is a quasi-isomorphism of cofibrant CDGA's. Then

$$\widetilde{\text{MC}}: \widetilde{\text{MC}}(L) \xrightarrow{\cong} \widetilde{\text{MC}}(M)$$

Proof:

$$\begin{array}{ccc} \mathcal{C}^*(L) & \xleftarrow[\simeq]{\mathcal{C}^*(g)} & \mathcal{C}^*(M) \\ & \searrow & \swarrow \\ & \mathbb{Q} & \end{array}$$

4. Lawrence-Sullivan interval and homotopy

Theorem

Let $L \in \mathbb{L}_\infty^{\text{mild, f.t.}}$, then

$$\langle L \rangle \simeq \bigcup_{z \in \widetilde{\text{MC}}(L)} \langle L^{(z)} \rangle.$$

B., Murillo, Adv. In Math 2013

5. Algebraic models of non-connected spaces

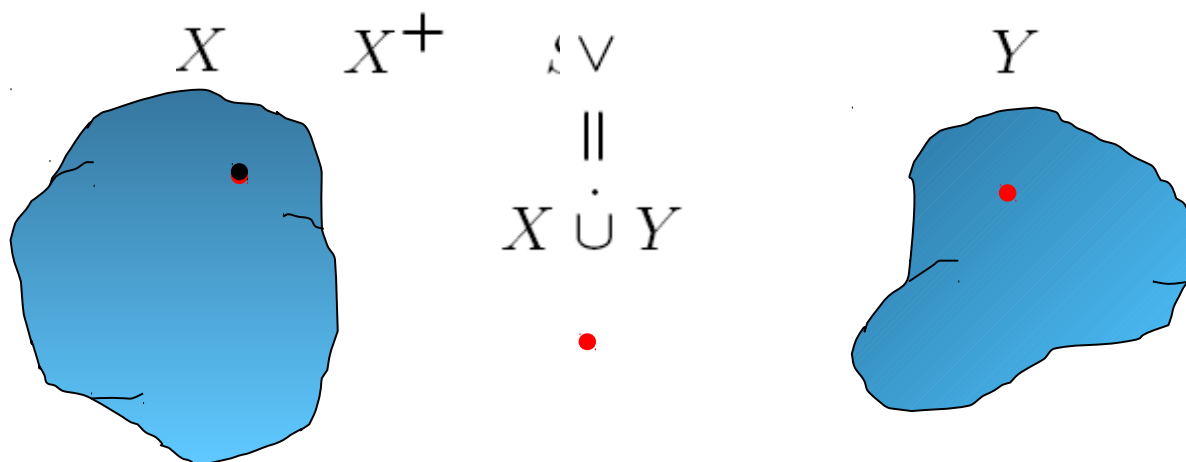
Input:

Family of nilpotent spaces of the homotopy type of finite type CW-complexes.

Family of 0-reduced DGL's of finite type.

Output:

DGL whose realization is of the homotopy type of the rationalization of the space whose components are the elements of the given family.



5. Algebraic models of non-connected spaces

Given $L, M \in \mathbf{DGL}$ we denote by $L * M$ its coproduct. Recall that, given free presentations $L = \mathbb{L}(U)/I$, $M = \mathbb{L}(V)/J$, then $L * M = \mathbb{L}(U \oplus V)/\langle I, J \rangle$

Let L, M be non-negatively graded DGL's models of the path connected spaces X and Y .

Lemma $\mathbb{L}(u) * L$ is a model of $X \vee S^0$.

Perturb the differential by the Maurer-Cartan element u :

$$(\mathbb{L}(u) * L, \partial_u)$$

$$\partial_u(u) = \frac{1}{2}[u, u]$$

$$\partial_u a = \partial a + [u, a], \quad a \in L$$

Nothing has changed! (except the base point)

Indeed, $\langle L \rangle \simeq \langle L_z \rangle$ for any $z \in \text{MC}(L)$.

Lemma $(\mathbb{L}(u) * L, \partial_u)$ is a model of $X^+ = X \dot{\cup} \{\text{point}\}$.

Finally,

Theorem $(\mathbb{L}(u) * L * M, \partial_u * \partial_M)$ is a model of $X \dot{\cup} Y$.

More generally,

Theorem

Let X be a space with path components $\{Y, X_j\}_{j \in J}$ and let $\{L, L_j\}_{j \in J}$ be a family of non-negatively graded DGL's, each of which modeling the corresponding component. For each $j \in J$ consider the perturbed DGL

$$M_j = (\mathbb{L}(u_j) * L_j, \partial_{u_j}),$$
$$\partial_{u_j}(u_j) = \frac{1}{2}[u_j, u_j], \quad \partial_{u_j}x = \partial_jx + [u_j, x], \quad x \in L_j.$$

Then,

$$M = *_{j \in J} M_j * L$$

is a model of X .



Merci!